AMENDED IN SENATE JUNE 2, 2005 AMENDED IN SENATE MAY 27, 2005 AMENDED IN SENATE APRIL 21, 2005

SENATE BILL

No. 556

Introduced by Senator Migden

February 18, 2005

An act to amend Section 11999.6 of the Health and Safety Code, and to amend Sections 1210, 1210.1, and 3063.1 of the Penal Code, relating to drug treatment, and declaring the urgency thereof, to take effect immediately.

LEGISLATIVE COUNSEL'S DIGEST

SB 556, as amended, Migden. Drug treatment.

(1) Existing law, added by initiative statute, provides that a person convicted of a nonviolent drug possession offense shall receive probation with completion of a drug treatment program as a condition of probation. Existing law also provides that, except as specified, a person's parole may not be suspended or revoked for commission of a nonviolent drug possession offense or for violating a drug-related condition of parole, but that an additional condition of parole for those offenses or violations shall be completion of a drug treatment program.

This bill would state that no person shall be denied the opportunity to benefit from the above provisions based solely on evidence of a co-occurring psychiatric disorder.

(2) Existing law defines "successful completion of treatment" for purposes of the provisions in (1) as a defendant who has had drug treatment imposed as a condition of probation who has completed the prescribed course of treatment and, as a result, there is reasonable

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cause to believe that the defendant will not abuse controlled substances in the future.

This bill would delete from the definition the condition that there is reasonable cause to believe that the defendant will not abuse controlled substances in the future.

(3) Existing law provides that drug treatment services provided pursuant to the provisions in (1) for a defendant on probation or parole may not exceed 12 months, not including aftercare.

This bill would authorize a court to impose treatment beyond 12 months, for a period of treatment and aftercare to not exceed 24 months.

(4) Existing law authorizes a court to set aside the conviction of a defendant who has successfully completed drug treatment under the provisions in (1), and to dismiss the indictment, complaint or information against the defendant.

This bill would specify the conditions under which a defendant undergoing narcotics replacement treatment would be deemed to have successfully completed treatment.

(5) Under the provisions in (1), if a defendant violates probation for a 3rd time or parole for a 2nd time, a court is required to revoke his or her probation and the parole authority is required to revoke his or her parole.

This bill would authorize a court to extend probation and the parole authority to extend parole by intensifying or altering the defendant's drug treatment plan.

(6) Existing law creates a state fund to award counties money to implement the drug treatment requirements of the provisions in (1), but prohibits money in that fund from being used to pay for the cost of drug testing.

This bill would prohibit a county from spending more than 25% of its allocation for those provisions on costs or services other than drug treatment, training, counseling, or housing for defendants, as specified.

- (7) Because the bill would change probation related duties relative to these defendants, the bill would change the penalty for crimes, and thus would impose a state-mandated local program.
- (8) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

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This bill would provide that no reimbursement is required by this act for a specified reason.

- (9) Because the bill would amend an initiative statute, it would require a 2/3 vote for enactment by the Legislature.
- (10) The bill would declare that it is to take effect immediately as an urgency statute.

Vote: ²/₃. Appropriation: no. Fiscal committee: yes. State-mandated local program: yes.

The people of the State of California do enact as follows:

1 SECTION 1. Section 11999.6 of the Health and Safety Code 2 is amended to read:

11999.6. Moneys 3 deposited in the Substance Abuse 4 Treatment Trust Fund shall be distributed annually by the Secretary of the Health and Human Services Agency through the State Department of Alcohol and Drug Programs to counties to cover the costs of placing persons in and providing drug treatment programs under this act, and vocational training, family counseling and literacy training under this act. A county may not 10 spend more than 25 percent of the amount allocated to it on costs 11 or services other than drug treatment, vocational training, literacy 12 and education, family counseling, or housing in sober living 13 homes or other residences that are likely to support recovery 14 from addiction. Additional costs that may be reimbursed from the 15 Substance Abuse Treatment Trust Fund include probation 16 department costs, court monitoring costs and any miscellaneous 17 costs made necessary by the provisions of this act other than drug 18 testing services of any kind. Those moneys shall be allocated to 19 counties through a fair and equitable distribution formula that 20 includes, but is not limited to, per capita arrests for controlled 21 substance possession violations and substance abuse treatment 22 caseload, as determined by the department as necessary to carry 23 out the purposes of this act. The department may reserve a 24 portion of the fund to pay for direct contracts with drug treatment 25 service providers in counties or areas in which the director of the 26 department has determined that demand for drug treatment 27 services is not adequately met by existing programs. However, 28 nothing in this section shall be interpreted or construed to allow 29 any entity to use funds from the Substance Abuse Treatment

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1 Trust Fund to supplant funds from any existing fund source or mechanism currently used to provide substance abuse treatment.

- SEC. 2. Section 1210 of the Penal Code is amended to read:
- 4 1210. As used in Sections 1210.1 and 3063.1 of this code, 5 and Division 10.8 (commencing with Section 11999.4) of the 6 Health and Safety Code: 7 (a) The term "nonviolent drug possession offense" means the
 - (a) The term "nonviolent drug possession offense" means the unlawful personal use, possession for personal use, or transportation for personal use of any controlled substance identified in Section 11054, 11055, 11056, 11057 or 11058 of the Health and Safety Code, or the offense of being under the influence of a controlled substance in violation of Section 11550 of the Health and Safety Code. The term "nonviolent drug possession offense" does not include the possession for sale, production, or manufacturing of any controlled substance and does not include violations of Section 4573.6 or 4573.8.
 - (b) The term "drug treatment program" or "drug treatment" means a state licensed and/or certified community drug treatment program, which may include one or more of the following: outpatient treatment, half-way house treatment, narcotic replacement therapy, drug education or prevention courses and/or limited inpatient or residential drug treatment as needed to address special detoxification or relapse situations or severe dependence. The term "drug treatment program" or "drug treatment" includes a drug treatment program operated under the direction of the Veterans Health Administration of the Department of Veterans Affairs or a program specified in Section 8001; such a program shall be eligible to provide drug treatment services without regard to the licensing or certification provisions required by this subdivision. The term "drug treatment program" or "drug treatment" does not include drug treatment programs offered in a prison or jail facility.
 - (c) The term "successful completion of treatment" means that a defendant who has had drug treatment imposed as a condition of probation has completed the prescribed course of drug treatment.
 - (d) The term "misdemeanor not related to the use of drugs" means a misdemeanor that does not involve (1) the simple possession or use of drugs or drug paraphernalia, being present

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where drugs are used, or failure to register as a drug offender, or (2) any activity similar to those listed in paragraph (1).

SEC. 3. Section 1210.1 of the Penal Code is amended to read: 1210.1. (a) Notwithstanding any other provision of law, and except as provided in subdivision (b), any person convicted of a nonviolent drug possession offense shall receive probation. As a condition of probation the court shall require participation in and completion of an appropriate drug treatment program. The court may also impose, as a condition of probation, participation in vocational training, family counseling, literacy training and/or community service. A court may not impose incarceration as an additional condition of probation. Aside from the limitations imposed in this subdivision, the trial court is not otherwise limited in the type of probation conditions it may impose. Probation shall be imposed by suspending the imposition of sentence. No person shall be denied the opportunity to benefit from the provisions of this section based solely on evidence of a co-occurring psychiatric disorder.

In addition to any fine assessed under other provisions of law, the trial judge may require any person convicted of a nonviolent drug possession offense who is reasonably able to do so to contribute to the cost of his or her own placement in a drug treatment program.

- (b) Subdivision (a) does not apply to either of the following:
- (1) Any defendant who previously has been convicted of one or more serious or violent felonies in violation of subdivision (c) of Section 667.5 or Section 1192.7, unless the nonviolent drug possession offense occurred after a period of five years in which the defendant remained free of both prison custody and the commission of an offense that results in a felony conviction other than a nonviolent drug possession offense, or a misdemeanor conviction involving physical injury or the threat of physical injury to another person.
- (2) Any defendant who, in addition to one or more nonviolent drug possession offenses, has been convicted in the same proceeding of a misdemeanor not related to the use of drugs or any felony.
- 38 (3) Any defendant who, while using a firearm, does either of the following:

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(A) Unlawfully possesses any amount of a substance containing either cocaine base, cocaine, heroin, methamphetamine, or a liquid, nonliquid, plant substance, or hand-rolled cigarette, containing phencyclidine.

- (B) Is unlawfully under the influence of cocaine base, cocaine, heroin, methamphetamine or phencyclidine.
- (4) Any defendant who refuses drug treatment as a condition of probation.
 - (5) Any defendant for whom all of the following are true:
- (A) Has two separate convictions for nonviolent drug possession offenses.
- (B) Has participated in two separate courses of drug treatment pursuant to subdivision (a).
- (C) Is found by the court, by clear and convincing evidence, to be unamenable to any and all forms of available drug treatment.

Notwithstanding any other provision of law, the trial court shall sentence a defendant identified in this subparagraph to 30 days in jail.

- (c) Within seven days of an order imposing probation under subdivision (a), the probation department shall notify the drug treatment provider designated to provide drug treatment under subdivision (a). Within 30 days of receiving that notice, the treatment provider shall prepare a treatment plan and forward it to the probation department. On a quarterly basis after the defendant begins the drug treatment program, the treatment provider shall prepare and forward a progress report on the individual probationer to the probation department.
- (1) If at any point during the course of drug treatment the treatment provider notifies the probation department that the defendant is unamenable to the drug treatment being provided, but may be amenable to other drug treatments or related programs, the probation department may move the court to modify the terms of probation to ensure that the defendant receives the alternative drug treatment or program.
- (2) If at any point during the course of drug treatment the treatment provider notifies the probation department that the defendant is unamenable to the drug treatment provided and all other forms of drug treatment programs pursuant to subdivision (b) of Section 1210, the probation department may move to revoke probation. At the revocation hearing, if it is proved that

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the defendant is unamenable to all drug treatment programs pursuant to subdivision (b) of Section 1210, the court may revoke probation.

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- (3) Drug treatment services provided by subdivision (a) as a required condition of probation may not exceed 12 months, unless the court finds that the continuation of treatment services beyond 12 months is necessary for drug treatment to be successful. If a court makes that finding, the court may order up to two extensions of probation and the continuation of treatment and aftercare for up to an additional six months. The period of treatment and aftercare shall not exceed 24 months.
- (d) (1) (A) Except as provided in subparagraph (B), if the court finds that the defendant successfully completed drug treatment, and substantially complied with the conditions of probation, the conviction on which the probation was based shall be set aside and the court shall dismiss the indictment, complaint, or information against the defendant. In addition, except as provided in paragraphs (2) and (3), both the arrest and the conviction shall be deemed never to have occurred. Except as provided in paragraph (2) or (3), the defendant shall thereafter be released from all penalties and disabilities resulting from the offense of which he or she has been convicted.
- (B) In order to dismiss the charging document of a defendant undergoing narcotics replacement treatment, the court shall deem that the defendant has successfully completed treatment if he or she has been participating in an appropriate program or has been treated by a physician for at least three months, and the program or physician reports adequate compliance with all elements of the defendant's treatment program. Funding for that defendant's treatment may continue for up to 18 months even if the charging document is dismissed.
- (2) Dismissal of an indictment, complaint, or information pursuant to paragraph (1) does not permit a person to own, possess, or have in his or her custody or control any firearm capable of being concealed upon the person or prevent his or her conviction under Section 12021.
- (3) Except as provided below, after an indictment, complaint, or information is dismissed pursuant to paragraph (1), the defendant may indicate in response to any question concerning his or her prior criminal record that he or she was not arrested or

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convicted for the offense. Except as provided below, a record pertaining to an arrest or conviction resulting in successful completion of a drug treatment program under this section may not, without the defendant's consent, be used in any way that could result in the denial of any employment, benefit, license, or certificate.

Regardless of his or her successful completion of drug treatment, the arrest and conviction on which the probation was based may be recorded by the Department of Justice and disclosed in response to any peace officer application request or any law enforcement inquiry. Dismissal of an information, complaint, or indictment under this section does not relieve a defendant of the obligation to disclose the arrest and conviction in response to any direct question contained in any questionnaire or application for public office, for a position as a peace officer as defined in Section 830, for licensure by any state or local agency, for contracting with the California State Lottery, or for purposes of serving on a jury.

- (e) (1) If probation is revoked pursuant to the provisions of this subdivision, the defendant may be incarcerated pursuant to otherwise applicable law without regard to the provisions of this section.
- (2) If a defendant receives probation under subdivision (a), and violates that probation either by being arrested for an offense that is not a nonviolent drug possession offense, or by violating a non-drug-related condition of probation, and the state moves to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. The court may modify or revoke probation if the alleged violation is proved.
- (3) (A) If a defendant receives probation under subdivision (a), and violates that probation either by committing a nonviolent drug possession offense, or a misdemeanor for simple possession or use of drugs or drug paraphernalia, being present where drugs are used, or failure to register as a drug offender, or any activity similar to those listed in paragraph (1) of subdivision (d) of Section 1210, or by violating a drug-related condition of probation, and the state moves to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. The trial court shall revoke probation if the alleged probation violation is proved and the state proves by a

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preponderance of the evidence that the defendant poses a danger to the safety of others. If the court does not revoke probation, it may intensify or alter the drug treatment plan.

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- (B) If a defendant receives probation under subdivision (a), and for the second time violates that probation either by committing a nonviolent drug possession offense, or a misdemeanor for simple possession or use of drugs or drug paraphernalia, being present where drugs are used, or failure to register as a drug offender, or any activity similar to those listed in paragraph (1) of subdivision (d) of Section 1210, or by violating a drug-related condition of probation, and the state moves for a second time to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. The trial court shall revoke probation if the alleged probation violation is proved and the state proves by a preponderance of the evidence either that the defendant poses a danger to the safety of others or is unamenable to drug treatment. In determining whether a defendant is unamenable to drug treatment, the court may consider, to the extent relevant, whether the defendant has committed a serious violation of rules at the drug treatment program, has repeatedly committed violations of program rules that inhibit the defendant's ability to function in the program, or has continually refused to participate in the program or asked to be removed from the program. If the court does not revoke probation, it may intensify or alter the drug treatment plan.
- (C) If a defendant receives probation under subdivision (a), and for the third time or subsequent time violates that probation either by committing a nonviolent drug possession offense, or by violating a drug-related condition of probation, and the state moves for a third time to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. If the alleged probation violation is proved, the court may intensify or alter the drug treatment plan, or may find that the defendant is no longer eligible for continued probation under subdivision (a).
- (D) If a defendant on probation at the effective date of this act for a nonviolent drug possession offense violates that probation either by being arrested for a nonviolent drug possession offense, or a misdemeanor for simple possession or use of drugs or drug

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paraphernalia, being present where drugs are used, or failure to register as a drug offender, or any activity similar to those listed in paragraph (1) of subdivision (d) of Section 1210, or by violating a drug-related condition of probation, and the state moves to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. The trial court shall revoke probation if the alleged probation violation is proved and the state proves by a preponderance of the evidence that the defendant poses a danger to the safety of others. If the court does not revoke probation, it may modify probation and impose as an additional condition participation in a drug treatment program.

- (E) If a defendant on probation at the effective date of this act for a nonviolent drug possession offense violates that probation a second time either by being arrested for a nonviolent drug possession offense, or a misdemeanor for simple possession or use of drugs or drug paraphernalia, being present where drugs are used, or failure to register as a drug offender, or any activity similar to those listed in paragraph (1) of subdivision (d) of Section 1210, or by violating a drug-related condition of probation, and the state moves for a second time to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. The trial court shall revoke probation if the alleged probation violation is proved and the state proves by a preponderance of the evidence either that the defendant poses a danger to the safety of others or that the defendant is unamenable to drug treatment. If the court does not revoke probation, it may modify probation and impose as an additional condition participation in a drug treatment program.
- (F) If a defendant on probation at the effective date of this act for a nonviolent drug offense violates that probation a third or subsequent time either by being arrested for a nonviolent drug possession offense, or by violating a drug-related condition of probation, and the state moves for a third time to revoke probation, the court shall conduct a hearing to determine whether probation shall be revoked. If the alleged probation violation is proved, the court may intensify or alter the drug treatment plan, or may find that the defendant is no longer eligible for continued probation under subdivision (a).
- (f) The term "drug-related condition of probation" shall include a probationer's specific drug treatment regimen,

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employment, vocational training, educational programs, psychological counseling, and family counseling.

SEC. 4. Section 3063.1 of the Penal Code is amended to read: 3063.1. (a) Notwithstanding any other provision of law, and except as provided in subdivision (d), parole may not be suspended or revoked for commission of a nonviolent drug possession offense or for violating any drug-related condition of parole.

As an additional condition of parole for all of those offenses or violations, the parole authority shall require participation in and completion of an appropriate drug treatment program. Vocational training, family counseling and literacy training may be imposed as additional parole conditions.

The parole authority may require any person on parole who commits a nonviolent drug possession offense or violates any drug-related condition of parole, and who is reasonably able to do so, to contribute to the cost of his or her own placement in a drug treatment program.

- (b) Subdivision (a) does not apply to any of the following:
- (1) Any parolee who has been convicted of one or more serious or violent felonies in violation of subdivision (c) of Section 667.5 or Section 1192.7.
- (2) Any parolee who, while on parole, commits one or more nonviolent drug possession offenses and is found to have concurrently committed a misdemeanor not related to the use of drugs or any felony.
- (3) Any parolee who refuses drug treatment as a condition of parole.
- (c) Within seven days of a finding that the parolee has either committed a nonviolent drug possession offense or violated any drug-related condition of parole, the parole authority shall notify the treatment provider designated to provide drug treatment under subdivision (a). Within 30 days thereafter the treatment provider shall prepare an individualized drug treatment plan and forward it to the parole authority and to the parole agent responsible for supervising the parolee. On a quarterly basis after the parolee begins drug treatment, the treatment provider shall prepare and forward a progress report on the individual parolee to these entities and individuals.

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(1) If at any point during the course of drug treatment the treatment provider notifies the parole authority that the parolee is unamenable to the drug treatment provided, but amenable to other drug treatments or related programs, the parole authority may act to modify the terms of parole to ensure that the parolee receives the alternative drug treatment or program.

- (2) If at any point during the course of drug treatment the treatment provider notifies the parole authority that the parolee is unamenable to the drug treatment provided and all other forms of drug treatment provided pursuant to subdivision (b) of Section 1210 and the amenability factors described in subparagraph (B) of paragraph (3) of subdivision (e) of Section 1210.1, the parole authority may act to revoke parole. At the revocation hearing, parole may be revoked if it is proved that the parolee is unamenable to all drug treatment.
- (3) Drug treatment services provided by subdivision (a) as a required condition of parole may not exceed 12 months, unless the court finds that the continuation of treatment services beyond 12 months is necessary for drug treatment to be successful. If a parole authority makes that finding, the parole authority may order up to two extensions of parole and the continuation of treatment and aftercare for up to an additional six months. The period of treatment and aftercare shall not exceed 24 months.
- (d) (1) If parole is revoked pursuant to the provisions of this subdivision, the defendant may be incarcerated pursuant to otherwise applicable law without regard to the provisions of this section. Parole shall be revoked if the parole violation is proved and a preponderance of the evidence establishes that the parolee poses a danger to the safety of others.
- (2) If a parolee receives drug treatment under subdivision (a), and during the course of drug treatment violates parole either by committing an offense other than a nonviolent drug possession offense, or by violating a non-drug-related condition of parole, and the parole authority acts to revoke parole, a hearing shall be conducted to determine whether parole shall be revoked. Parole may be modified or revoked if the parole violation is proved.
- (3) (A) If a parolee receives drug treatment under subdivision (a), and during the course of drug treatment violates parole either by committing a nonviolent drug possession offense, or a misdemeanor for simple possession or use of drugs or drug

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paraphernalia, being present where drugs are used, or failure to register as a drug offender, or any activity similar to those listed in paragraph (1) of subdivision (d) of Section 1210, or by violating a drug-related condition of parole, and the parole authority acts to revoke parole, a hearing shall be conducted to determine whether parole shall be revoked. Parole shall be revoked if the parole violation is proved and a preponderance of the evidence establishes that the parolee poses a danger to the safety of others. If parole is not revoked, the conditions of parole may be intensified to achieve the goals of drug treatment.

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- (B) If a parolee receives drug treatment under subdivision (a), and during the course of drug treatment for the second time violates that parole either by committing a nonviolent drug possession offense, or by violating a drug-related condition of parole, and the parole authority acts for a second time to revoke parole, a hearing shall be conducted to determine whether parole shall be revoked. If the alleged parole violation is proved, the parolee may be reincarcerated or the conditions of parole may be intensified to achieve the goals of drug treatment.
- (C) If a parolee already on parole at the effective date of this act violates that parole either by committing a nonviolent drug possession offense, or a misdemeanor for simple possession or use of drugs or drug paraphernalia, being present where drugs are used, or failure to register as a drug offender, or any activity similar to those listed in paragraph (1) of subdivision (d) of Section 1210, or by violating a drug-related condition of parole, and the parole authority acts to revoke parole, a hearing shall be conducted to determine whether parole shall be revoked. Parole shall be revoked if the parole violation is proved and a preponderance of the evidence establishes that the parolee poses a danger to the safety of others. If parole is not revoked, the conditions of parole may be modified to include participation in a drug treatment program as provided in subdivision (a). This paragraph does not apply to any parolee who at the effective date of this act has been convicted of one or more serious or violent felonies in violation of subdivision (c) of Section 667.5 or Section 1192.7.
- (D) If a parolee already on parole at the effective date of this act violates that parole for the second time either by committing a nonviolent drug possession offense, or by violating a

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drug-related condition of parole, and the parole authority acts for a second time to revoke parole, a hearing shall be conducted to determine whether parole shall be revoked. If the alleged parole violation is proved, the parolee may be reincarcerated or the conditions of parole may be intensified to achieve the goals of drug treatment.

- (e) The term "drug-related condition of parole" shall include a parolee's specific drug treatment regimen, and, if ordered by the parole authority pursuant to this section, employment, vocational training, educational programs, psychological counseling, and family counseling.
- SEC. 5 No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.
- SEC. 6. This act is an urgency statute necessary for the immediate preservation of the public peace, health, or safety within the meaning of Article IV of the Constitution and shall go into immediate effect. The facts constituting the necessity are:
- In order to ensure that the essential services provided under the Substance Abuse and Crime Prevention Act of 2000 continue to be provided without interruption, it is necessary that this act take effect immediately.